



**ROAD, STREET, AND HIGHWAY FINANCE SURVEY
FISCAL YEAR 2017 (FISCAL YEAR ENDING JUNE 30, 2017)**

Please return your completed survey by March 15, 2018

LOCALITY: _____ City County Town DATE: _____

COMPILED BY: _____

POSITION: _____

E-MAIL: _____

PHONE: _____ FAX: _____

This survey provides data for the Virginia Department of Transportation (VDOT) for both the U3 and FHWA-536 forms. The U3 form is used to track spending mandated by Virginia for eligible roads. VDOT must also provide highway finance data via the FHWA-536 form to the Federal Highway Administration (FHWA) of the U.S. Department of Transportation. FHWA uses the information for policy analysis and for its annual study, *Highway Statistics*. VDOT contracts with the University of Virginia's Weldon Cooper Center for Public Service to conduct this survey.

The Cooper Center depends heavily on your answers to provide the information required by VDOT and FHWA. In addition, the center uses information from the Auditor of Public Accounts' *Comparative Report of Local Government Revenues and Expenditures* and from other sources.

RECEIPTS IN FISCAL YEAR 2017

A. Receipts from local sources

(Include all requested sources of revenue related to roads, streets, and highways even if the revenues are not earmarked for those purposes.)

1. Special road, street, and highway assessments imposed by your locality: \$ _____
(Include all revenue from assessments on property owners for street/highway-related functions other than parking or allied street projects.)
2. Personal property tax total receipts \$ _____
(Do not include state payments under the Personal Property Tax Relief Act of 1998 (i.e., the car tax relief program).)
3. Check the approximate percentage of personal property tax receipts that came from motor vehicles:

Less than 50%	50 to 59.9%	60 to 69.9%	70 to 79.9%	80 to 89.9%	90 to 100%
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4. Motor vehicle license taxes (sometimes called "the decal tax"): \$ _____
5. Taxi permits: \$ _____
6. Bicycle licenses or permits: \$ _____
7. Traffic fines (exclude parking fines): \$ _____

A. Receipts from local sources (continued)

- 8. Recovered costs: \$ _____
(For example, payments received by the law enforcement agency for traffic control and rental payments for use of municipal highway equipment.)

- 9. Private contributions: \$ _____
(For example, cash, or the dollar value of real property, construction of facilities, and performance of support services.)

- 10. Impact fees: \$ _____
(Fees imposed on new developments to pay all or part of the cost of reasonable road improvements attributable in substantial part to such development.)

- 11. Transfers from toll facilities: \$ _____
(Include transfers of receipts from local toll facilities.)

- 12. Interest income: \$ _____
(Interest income related to cash and investment balances in road, street, and highway accounts.)

B. Receipts from federal government used for highway purposes only

(Include only monies received directly by your locality from the federal government. Do not include “pass-through” monies, that is, monies provided by the federal government to VDOT or other state agencies and “passed-through” to your locality.)

- 1. Federal Highway Administration (FHWA): \$ _____
- 2. Federal Emergency Management Agency (FEMA): \$ _____
- 3. Housing and Urban Development (HUD): \$ _____
- 4. Federal Aviation Administration (FAA): \$ _____
- 5. Forest Service: \$ _____
- 6. Other agencies (please specify)

 Agency/Department: \$ _____

 Agency/Department: \$ _____

C. Receipts from VDOT for highway purposes

- 1. Receipts from VDOT for highway purposes in fiscal year 2017: \$ _____
(Include only monies received directly from VDOT in accordance with Section 33.2-319 or 33.2-366 of the *Code of Virginia* for the purposes of maintaining, constructing or reconstructing eligible roads and streets.)

- 2. VDOT carry-over amounts from fy 2016: \$ _____

- 3. Total VDOT receipts for highway purposes in fiscal year 2017: \$ _____
(Combining receipts for fy 2017 and fy 2016 carry-over amounts.)

DISBURSEMENTS IN FISCAL YEAR 2017

Where it is difficult to arrive at specific amounts for listed items, *please make an estimate.*

D. Law enforcement expenditures

1. How much did your locality spend on law enforcement in 2017? \$ _____
2. Of that amount, what is the estimated percentage associated with traffic control and enforcement of traffic law?

Less than 20%	20 to 29.9%	30 to 39.9%	40 to 49.9%	50 to 59.9%
	60 to 69.9%	70 to 79.9%	80 to 89.9%	90 to 100%

E. Payments to toll facilities

1. What amount of funds were transferred by your locality to either a state or local toll facility in 2017? \$ _____

F. Debt servicing

1. How much did your locality pay in interest and redemption payments for bonds and notes issued for highway purposes in 2017? \$ _____
 (Debt service also includes all expenditures incidental to the sale and retirement of highway debt)

G. Maintenance expenditures	<u>Expenditures on Eligible Facilities</u>	<u>Total Expenditures</u>
1. Pavement	\$ _____	\$ _____
2. Drainage	\$ _____	\$ _____
3. Structures & Bridges	\$ _____	\$ _____
4. Traffic control devices	\$ _____	\$ _____
5. Other	\$ _____	\$ _____

H. Expenditures for Road, Street and Highway services

- | | | |
|--------------------------------------|----------|----------|
| 1. Traffic control operations | \$ _____ | \$ _____ |
| 2. Emergency snow and ice removal | \$ _____ | \$ _____ |
| 3. Other emergency services | \$ _____ | \$ _____ |
| 4. Engineering | \$ _____ | \$ _____ |
| 5. Other traffic services (roadside) | \$ _____ | \$ _____ |

I. General administration and miscellaneous expenditures	\$ _____	\$ _____
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J. Capital Outlay

- | | | |
|----------------------------------|----------|----------|
| 1. Rights-of-way | \$ _____ | \$ _____ |
| 2. Engineering (where separable) | \$ _____ | \$ _____ |
| 3. Construction | \$ _____ | \$ _____ |

BONDS OUTSTANDING, REDEEMED, OR REFUNDED IN FISCAL YEAR 2017

K. Bonds outstanding as of June 30, 2017

1. What is the total value of bonds outstanding in your locality as of 6/30/2017? \$ _____
2. Of the total outstanding, what amount was associated with financing of roads, streets, highways or activities associated with them? \$ _____
3. If you cannot provide an amount, would you have an estimated percentage of the amount outstanding associated with roads, streets, and highways? _____

L. Bonds redeemed or refunded in FY 2017

(Includes any outstanding debt that was earmarked for road and street, whether it ended in FY 2016, continued beyond FY 2017, or was new work in FY 2017. Therefore, include any amount redeemed in FY 2017 for past years' work as well as the amount refunded in FY 2017 for future years' work.)

1. Road, street, or highway related bonds redeemed.* \$ _____
(Note: Redeemed applies to bonds originally issued by the locality that are purchased back by the locality.)
2. Road, street, or highway related bonds refunded.* \$ _____
(Note: Refunding applies to bonds being reissued by the locality for highway purposes.)

*The amount for bonds redeemed that is shown in "L.1" will differ from the amount of bonds refunded shown in "L.2" if the new issue was too heavily discounted to refund all of the outstanding bonds or if outstanding bonds had a large call premium and were refunded at a lower rate. For instance, assume the locality issued \$10,000,000 of bonds at a 10% rate with a callback provision. Assume the rate then fell to 6%. The locality would redeem the outstanding bonds to take advantage of the lower rate. However, the locality might have issued the bonds with a callback provision that requires it to pay a total of \$400,000 to the owners of the bonds. The redemption amount would be \$10,400,000 based on the call premium. The refunding amount would be \$10,000,000 at 6%.

If you have any questions or comments, please call Steve Kulp at (434) 982-5638. If you complete the e-mail version, please e-mail it to Steve Kulp at sck7x@virginia.edu. If you complete the hardcopy version, please fax or mail it to Steve Kulp at the Weldon Cooper Center for Public Service. The fax number is (434) 982-5536. The mailing address is:

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